

1417-180A
VMD:cjk



#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
James D.Y. COLLIER et al.) Box Missing Parts
Serial No. 09/618,296) Attention:
Filed: July 18, 2000) Applications Branch
For: VARIABLE OSCILLATOR) February 1, 2001

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b) dated October 24, 2000, response copy attached, a Declaration and Power of Attorney identifying the above-referenced application by Serial Number and Filing Date is being herewith submitted. Also attached is a check in payment of the following fees:

\$ 130.00 (surcharge under 37 C.F.R. 1.16(e))
710.00 (basic filing fee)
324.00 (additional claims fee)
\$1164.00 TOTAL

Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to Deposit Account No. 02-2135. An additional copy of this letter is enclosed for that purpose.

02/05/2001 AWONDAF1 00000053 09618296

04 FC:116

390.00 0P

The following document(s) is/are being submitted
concurrently under separate cover:

- A Request for an Extension of Time under 37 C.F.R. 1.136(a)
and check for the requisite fee.
- An Information Disclosure Statement.
- Submission of Formal Drawings with 2 Sheets of Drawings.
- A certified copy of the priority document.
- An Assignment with Form 1619-A & \$40.00 Ck.

Respectfully submitted,

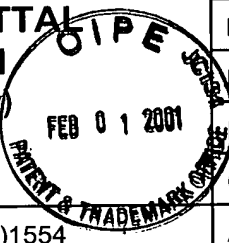
ROTHWELL, FIGG, ERNST & MANBECK, p.c.

By Vincent M DeLuca
Vincent M. DeLuca
Attorney for Applicants
Registration No. 32,408

555 Thirteenth Street, N.W.
Suite 701 East Tower
Washington, D.C. 20004
Telephone: (202) 783-6040

SECTOR 018
#3

FEE TRANSMITTAL for FY 2001 (Large Entity)		Complete if Known	
		Application Number	09/618,296
		Filing Date	July 18, 2000
		First Named Inventor	James D.Y. COLLIER et al.
		Examiner Name	
		Group Art Unit	2745
Total Amount of Payment	(\$1554)	Attorney Docket Number	1417-180A



METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status
2. ☒ Payment Enclosed
- ☒ Check
- ☐ Credit Card

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Fee Paid	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	[130]
127	50	Surcharge - late provisional filing fee or cover sheet	[]
139	130	Non-English specification	[]
147	2,520	For filing a request for reexamination	[]
112	920	Requesting publication of SIR prior to Examiner action	[]
113	1,840*	Requesting publication of SIR after Examiner action	[]
115	110	Extension for reply within first month	[]
116	390	Extension for reply within second month	[390]
117	890	Extension for reply within third month	[]
118	1,390	Extension for reply within fourth month	[]
128	1,890	Extension for reply within fifth month	[]
119	310	Notice of Appeal	[]
120	310	Filing a brief in support of an appeal	[]
121	270	Request for Oral Hearing	[]
138	1,510	Petition to institute a public use proceeding	[]
140	110	Petition to revive -unavoidable	[]
141	1,240	Petition to revive - unintentional	[]
142	1,240	Utility issue fee (or reissue)	[]
143	440	Design issue fee	[]
144	600	Plant issue fee	[]
122	130	Petitions to the Commissioner	[]
123	50	Processing fee under 37 CFR 1.17(q)	[]
126	180	Submission of Information Disclosure Statement	[]
581	40	Recording each patent assignment per property (times number of properties)	[]
146	710	Filing a submission after final rejection (37 CFR .129(a))	[]
149	710	For each additional invention to be examined (37 CFR 1.129(b))	[]
179	710	Request for Continued Examination (RCE)	[]
169	900	Request for expedited examination of a design application	[]
195	300	Publication fee for early, voluntary, or normal publication	[]
196	300	Publication fee for republication	[]
089	200	Filing application for patent term adjustment	[]
090	200	Request for reinstatement of term reduced	[]
Other fee (specify)			[]

FEE CALCULATION

1. FILING FEE

Fee Code	Fee \$	Fee Description	Fee Paid
101	710	Utility filing fee	[710]
106	320	Design Filing Fee	[]
107	490	Plant Filing Fee	[]
108	710	Reissue Filing Fee	[]
114	150	Provisional Filing Fee	[]

SUBTOTAL \$710

2. CLAIMS

Total Claims	Extra Claims	Fee	Fee Paid
[38] - 20** = [18] x		\$18 =	[324]
Independent Claims [2] - 3** = [0] x		80 =	[]
Multiple Dependent Claims +		270 =	[]

**or number previously paid, if greater;

SUBTOTAL \$324

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$520

SUBMITTED BY		Complete (if applicable)	
NAME AND REG. NUMBER	Vincent M. DeLuca, Reg. No. 32,408		
SIGNATURE	Vincent M DeLuca	DATE	2 / 1 / 01
		DEPOSIT ACCOUNT USER ID	



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/618,296	09/08/2000/DOCKETING	James D. Y. Collier	1417-180A

ROTHWELL FIGG ERNST & MANBECK

FORMALITIES LETTER

Vincent M DeLuca
Rothwell Figg Ernst & Manbeck
Suite 701-East
555 13th Street NW
Washington, DC 20004

OCT 27 2000

REFERRED TO: *JMD*
FILE NO. *1417-180*
OK TO FILE

OC00000005499984

Date Mailed: 10/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$324.
 - \$324 for 18 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

02/05/2001 AWONDAF1 00000053 09618296

- The balance due by applicant is \$ 1144.

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	324.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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#5
0300

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In re Application of)
James D.Y. COLLIER et al.)
Serial No. 09/618,296)
Filed: July 18, 2000) Group Art Unit: 2745
For: VARIABLE OSCILLATOR) February 1, 2001
)
)

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Two sheets of formal drawings are submitted herewith to be substituted for the informal drawings included with the application as filed.

Respectfully submitted,

By Vincent M DeLuca
Vincent M. DeLuca
Attorney for Applicants
Registration No. 32,408
ROTHWELL, FIGG, ERNST & MANBECK, p.c.
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